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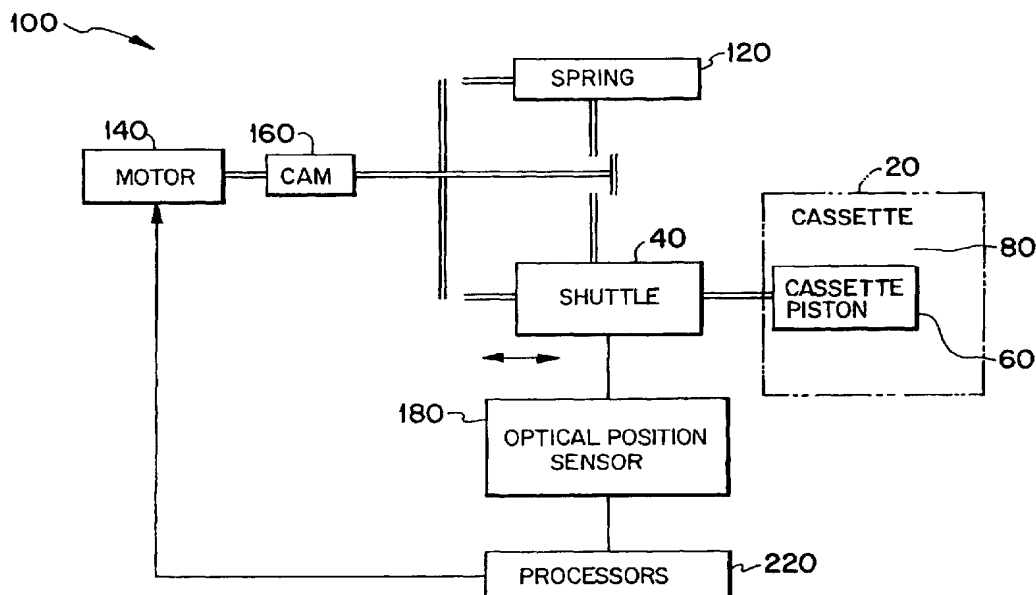
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(54) Title: AIR-IN-LINE AND PRESSURE DETECTION



(57) Abstract: Methods and apparatus for air content and pressure measurement of sample fluid, especially sample fluid in association with an infusion pump. Volume change in a chamber as the chamber transitions between negative and positive pressure relates to the air content in the chamber. In particular, in an infusion pump, the volume change of infusion fluid as it transitions between being under negative pressure and positive pressure within a cassette central chamber, e.g., pumping chamber, relates to the air content in the infusion fluid. The outlet pressure of the cassette central chamber, e.g., blood pressure, can be monitored based on the cassette central chamber pressure.



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## AIR-IN-LINE AND PRESSURE DETECTION

Field of the Invention

[0001] The present invention relates to a system and methods of determining air content and pressure in fluid, especially in association with an infusion pump.

Background of the Invention

[0002] There is a need in the field for methods of measuring air content and pressure of a sample fluid. Particularly in an infusion pump, it is critical to determine the air content of the infusion fluid and the fluid pressure down stream of the outlet valve of the infusion pump.

Summary of the Invention

[0003] The present invention is directed in part to unique methods of content measurement of a sample fluid. The present invention is based on the discovery that volume change in a chamber, as the chamber transitions between negative and positive pressure relates to the air content in the chamber. In particular, in an infusion pump, the volume change of infusion fluid as it transitions between being under negative pressure and positive pressure within a cassette central chamber, *e.g.*, pumping chamber, relates to the air content in the infusion fluid. In addition, the present invention provides methods for determining pressure of a sample fluid.

Brief Description of the Drawings

[0004] These and other objects and advantages of the invention will become more apparent and more readily appreciated from the following detailed description of an exemplary embodiment of the invention taken in combination with the accompanying drawings, of which:

[0005] FIG. 1 is a block diagram illustrating the fluid delivery system topology of an infusion pump.

[0006] FIG. 2 is a block diagram illustrating a cross-sectional view of the cassette assembly of an infusion pump.

[0007] FIG. 3 illustrates changes in cassette central chamber volume as a function of pressure.

[0008] FIG. 4 illustrates changes in piston position as a function of time.

#### Detailed Description

[0009] Infusion pumps are widely used for administering medications to patients over an extended time period. During an infusion of medication, it is critical to monitor the air content of the fluid medication administered to a patient. In addition, it is often convenient/helpful to measure the pressure on the patient side of the pump, e. g. measure the blood pressure of the patient. One of the applications of the methods for air content measurement of a sample fluid is to measure the air content in a cassette central chamber in an infusion pump. In addition, the mechanism for air content measurement also provides means to monitor the blood pressure of a patient connected to an infusion pump.

[0010] FIG. 1 is a block diagram illustrating one embodiment of the present invention. The fluid delivery system 100 includes a cassette assembly 20 and a shuttle mechanism 40. A suitable cassette assembly is described in patent application number 60/216,658, filed July 7, 2000, entitled "Cassette", to Carlisle, Costa, Holmes, Kirkman, Thompson and Semler, the entire contents of which are incorporated herein by reference. Within the cassette assembly 20 is a cassette piston 60 and a cassette central chamber 80. A spring 120 biases shuttle mechanism 40 which is connected to the cassette piston 60. Piston 60 slides freely in the cassette central chamber 80 to draw fluid into central chamber 80 and pump fluid out of central chamber 80. A motor 140 is activated in one direction to draw the cassette piston 60 out of cassette central chamber 80 via cam 160 and shuttle 40. When the cassette piston 60 is fully withdrawn, shuttle 40 disengages from cam 160 and motor 140, so that spring 120 pushes the cassette piston 60 into the cassette central chamber 80 via shuttle 40 to apply positive pressure to the fluid in the cassette central chamber 80. The shuttle mechanism 40 is also operably linked to an optical position sensor 180. A suitable position sensor is described in patent application number 60/217,885, filed July 7, 2000, entitled "Optical Position Sensor and Position Determination

Method”, to Carlisle, Kaplan and Kirkman, the entire contents of which are incorporated herein by reference. A processor 220 is connected to motor 140 and the position sensor 180.

[0011] FIG. 2 is a diagram illustrating a cross-sectional view of a cassette assembly 20. The cassette assembly 20 contains an inlet valve 200, an outlet valve 210, a cassette central chamber 80, and a cassette piston 60. Cassette piston 60 is connected to shuttle 40.

[0012] In operation, the motor 140 is activated in one direction to withdraw the cassette piston 60 against the force of spring 120 via cam 160, creating a relative vacuum in the cassette central chamber 80 and pulling fluid through a one-way passive inlet valve 200 into the cassette central chamber 80. During this fill stroke, the pressure in the cassette central chamber 80 is negative, *e.g.*, between 0 and –10 psi. The amount of negative pressure depends on the withdrawal speed of the piston, fluid resistance, fluid viscosity, etc. Once the cassette piston 60 has been withdrawn, cam 160 disengages from the shuttle 40, enabling the spring mechanism 120 to urge shuttle 40 to drive piston 60 into the cassette central chamber 80. The pressure in the chamber then moves from a negative value through zero to a positive value. The one-way passive inlet valve 200 is now fully closed. The positive pressure in the cassette central chamber 80 is typically between +2 and +7 psi depending on the spring force applied to the cassette piston 60 through the shuttle 40 which is directly related to the length of the withdrawal stroke, *e.g.*, the further the withdrawal stroke the stronger the spring force.

[0013] In a closed cassette central chamber, the volume changes as a function of cassette central chamber pressure. In theory, when the cassette central chamber 80 is closed and contains only liquid, *i.e.*, air free fluid, the cassette central chamber is not compressible, thus no volume change occurs. Nevertheless in practice, a “base volume change” exists when the chamber contains just air free fluid (as shown in Figure 3). Such “base volume change” is irrelevant to the air content in the fluid and is mostly due to system designs such as the shape of a sealing member of the cassette piston 60 or the flexing of elastomeric inlet and outlet valve elements connected to the cassette central chamber 80.

[0014] For example, the cassette piston 60 in the cassette central chamber 80 acts as a nearly ideal piston when under positive pressure from the spring mechanism 120; thus a change in the axial position of the piston represents a fluid volume change in the cassette central

chamber. Nevertheless, when cassette central chamber pressure changes from negative to positive, the shape of a sealing member of the cassette piston changes and results in piston travel without any change in central chamber fluid volume. This amount of travel contributes to the “base volume change”; it is significant, however, that this travel is a relative constant of the system design and does not change over time. In addition, the elastomeric valve elements connected to the cassette central chamber have some inherent displacement determined by their geometry and material properties. When under pressure, these elements move and to an insignificant degree, continue to move (creep) over time. The movement of these elements also contributes to the “base volume change”.

[0015] The “base volume change” of the cassette central chamber 80 can be determined by detecting the volume change of a control fluid under the pressure change of the cassette central chamber. The volume change can be measured by determining the change of shuttle position, *i.e.*, the piston travel position when the cassette central chamber pressure changes from negative to positive. The change of shuttle position is determined by the precision position sensor 180 linked to the shuttle mechanism 60. For example, one can compare the shuttle position in two states: the peak position during piston withdrawal and the shuttle position after the spring pressure is applied (as shown in Figure 4). This net displacement change of the shuttle position as a result of the pressure change in the cassette central chamber 80 filled with control fluid is a measure of the “base volume change” of the system 100, *e.g.*, the volume change that is inherent in the system 100.

[0016] In one embodiment, the “base volume change” of a control fluid is determined more than once and statistically conservative limits of “base volume change”, *e.g.*, lower than average, is selected as the “base volume change” for calculating the fluid air content. In another embodiment, the base volume change of a control fluid for a sample infusion fluid is determined by measuring the median volume change of more than one sample of the same infusion fluid, *e.g.*, over more than one fill stroke, resulting from the pressure change of the cassette central chamber. In yet another embodiment, the base volume change is the median volume change of an infusion fluid over eleven (11) contiguous fill strokes, and is updated or modified periodically throughout an infusion therapy; and such base volume change is used to measure the sample fluid air content of the same infusion fluid.

[0017] In a closed cassette central chamber, *e.g.*, both inlet and outlet valves are closed, any cassette central chamber volume-versus-pressure changes above the “base volume change” are interpreted as volume changes in the cassette central chamber due to the presence of air (as shown in Figure 3). The air content of fluid contributes to the total volume change of the cassette central chamber and is proportional to the total volume change, *e.g.*, sample volume change minus the base volume change.

[0018] For example, one can fill the cassette central chamber 80 with a sample fluid until the volume (gVolMax) in the chamber is greater than the desired volume of fluid to be delivered in a single pump stroke (gVdue) plus the “base volume change” (Vbase). During the fill cycle, the fill volume can be monitored through the piston position, *i.e.*, the shuttle position which is determined by the optical position sensor 180. As a result of the geometry and design of the cassette assembly 20, there is a linear relationship between the shuttle position and the fill volume. Once the fill volume (gVolMax) is achieved, the motor direction is reversed so that the shuttle 40 falls off the cam 160 and rides freely on the spring mechanism 120. Similarly the end-diastolic volume (gVolEnd) can be determined from the stabilized shuttle position after the cam 160 releases shuttle 40. The sample volume change is the difference between the gVolMax and gVolEnd. The air content of the sample fluid is calculated in processor 220 as follows:

[0019] Air content (Volair) ~ Sample volume change – Base volume change

[0020] ~ (gVolmax-gVolEnd) – Base volume change

[0021] The air content measured according to the present invention is independent of the size and shape of the air bubble contained in a sample fluid, *e.g.*, the air content includes the content of big bubbles, small bubbles, integrated bubbles, and unintegrated bubbles.

[0022] In one embodiment, the effective amount of fluid that is pumped out of cassette central chamber 80 is calculated based on the air content of a sample fluid. For example, for a given stroke, the effective amount of fluid that is infused is calculated in processor 220 as follows:

[0023] effective amount of fluid infused ~ gVolmax – Volair

[0024] In another embodiment, the proportion of air content and volume content in a given stroke is calculated directly on the change of position of the piston. Specifically the proportion is calculated in processor 220 as follows:

[0025] 
$$\text{proportion of air/fluid content} = (\text{position change due to pressure change}) / (\text{max. position under neg. pressure})$$

[0026] The processor 220 adjusts subsequent fluid flow rate based on the air/fluid content proportion in a given stroke to compensation for the air content detected in a sample fluid.

[0027] In yet another embodiment, processor 220 compares the air content of a sample fluid to a predetermined value stored in the processor 220; the processor 220 activates an alarming device if the air content of the sample fluid is close to or beyond the predetermined value. Alternatively, the processor 220 activates an alarming device as well as shuts down the out flow of sample fluid from the cassette central chamber 80, *e.g.*, closes the outlet valve 210 of the cassette central chamber 80 and shuts down infusion process by the fluid delivery system 100.

[0028] According to another feature of the invention, sample fluid continuously passes through cassette central chamber 80 and the air content of the sample fluid is determined at different time points and stored in processor 220. The processor 220 calculates accumulated air content of the sample fluid by adding the air content values collected at different time points. Such accumulated air content over a period of time is compared to a threshold air content value stored in the processor 220; the processor 220 triggers a notifying device, *e.g.*, an alarm, if the accumulated air content is close or beyond a predetermined limitation. Alternatively, the processor 220 activates a notifying device as well as shuts down the out flow of sample fluid from the cassette central chamber 80, *e.g.*, closes the outlet valve 210 of the cassette central chamber 80 and shuts down infusion process by the fluid delivery system 100.

[0029] In one embodiment, the outlet pressure of the cassette central chamber, *e.g.*, the blood pressure of a mammal such as a human connected to the infusion pump is monitored. For example, during the fluid displacement, the outlet valve 210 of the cassette central chamber 80 is opened via external actuation. Fluid then flows from the higher pressure in the cassette central

chamber 80 to the outlet via the outlet valve 210. If the outlet valve 210 remains open, the cassette piston 60 will stop when the cassette central chamber pressure equals the outlet pressure. The position of the piston on the spring load is associated with a known spring force. Processor 220 then calculates the outlet pressure from the fixed geometry of the cassette central chamber 80. With the outlet valve 210 open, the outlet pressure including even rapid changes in arterial, vein, or capillary pressure of a patient can be measured.

[0030] For example, the spring rate,  $k$  (in units of force/distance), of the shuttle mechanism 40 and the piston cross-sectional area,  $A$ , are known system design constants. Such system design constants, *i.e.*,  $k/A$  are pre-calculated and stored in the processor 220 as Design\_Constant. During the empty cycle, the outlet valve 210 of the cassette central chamber remains open. Once the spring 120 reaches a stabilized position, the system reaches equilibrium, *e.g.*, the outlet pressure equals the cassette central chamber pressure. Subsequently the shuttle position, *i.e.*,  $X$ , is measured by the optical position sensor 180 and processor 220 calculates the outlet pressure as the following:

[0031] 
$$\text{Outlet pressure} = \text{Design\_Constant} * X$$

[0032] In another embodiment, processor 220 monitors the outlet pressure of the cassette central chamber and compares it to a predetermined value over a period of time. An increase of the outlet pressure indicates a partial or complete blockage of the cassette central chamber outlet, *i.e.*, blockage of the outlet pathway or a body fluid pathway receiving fluid displaced from the cassette central chamber 80. Depending on the degree of outlet pressure increase, processor 220 generates a signal to either alert the pressure increase or stop the fluid displacement of the system 100.

### Other Embodiments

[0033] Although several exemplary embodiments of this invention have been described in detail above, those skilled in the art will readily appreciate that many modifications are possible in the exemplary embodiment without materially departing from the novel teachings and advantages of this invention. Accordingly, all such modifications are intended to be included within the scope of this invention as defined in the following claims.



What is claimed is:

1. A method of measuring air content in a sample fluid comprising:  
determining a base volume change between zero or a negative pressure and a positive pressure in a chamber;  
determining a sample volume change between zero or the negative pressure and the positive pressure in the chamber with a sample fluid; and  
determining air content of the sample fluid by comparing the sample volume change and the base volume change.
2. The method of claim 1, wherein the chamber has an inlet valve, an outlet valve, and an assembly connected to a pressure source.
3. The method of claim 2, wherein the assembly comprises a cassette piston.
4. The method of claim 2, wherein the pressure source comprises a motor connected to the piston and generates negative pressure.
5. The method of claim 2, wherein the pressure source comprises a spring connected to the piston and generates positive pressure.
6. The method of claim 2, wherein the sample fluid flows into the chamber when the pressure source generates negative pressure.
7. The method of claim 2, wherein the chamber is part of an infusion pump.
8. The method of claim 1, wherein the chamber is closed at the positive pressure.
9. The method of claim 2, wherein the inlet valve and the outlet valve are closed when the pressure source generates positive pressure.

10. The method of claim 3, wherein the determination of the base volume change and the sample volume change includes determining a position of the cassette piston.

11. The method of claim 10, wherein the determination of the position of the cassette piston includes using an optical position sensor.

12. The method of claim 1, wherein the determination of the base volume change is repeated for one or more times and a lower than average value is selected as the base volume change.

13. The method of claim 1, wherein the air content of the sample fluid is related to the sample volume change minus the base volume change.

14. The method of claim 1 further comprising calculating an accumulated air content of more than one sample fluids over a period of time.

15. The method of claim 14 further comprising comparing the accumulated air content to a predetermined value.

16. The method of claim 1, wherein the determining of the base volume change comprises determining a median base volume change with more than one sample of an infusion fluid.

17. The method of claim 16, wherein the determining of the median base volume change comprises determining a median base volume change with 11 contiguous samples of an infusion fluid.

18. The method of claim 16, wherein the sample fluid is a sample of the infusion fluid.

19. A method of determining pressure of a sample fluid comprising:

connecting the sample fluid to a chamber, wherein the chamber has an inlet valve, an outlet valve, and an assembly connected to a pressure source which pumps a chamber fluid out of the chamber, and wherein the sample fluid is connected to the chamber fluid through the outlet valve; and

determining chamber pressure when the pressure of the sample fluid equals the chamber pressure.

20. The method of claim 19, wherein the sample fluid is a body fluid of a mammal.

21. The method of claim 20, wherein the body fluid is blood.

22. The method of claim 20, wherein the body fluid is blood in a vessel selected from the group consisting of artery, vein, and capillary.

23. The method of claim 20, wherein the mammal is a human.

24. The method of claim 19, wherein the chamber is part of an infusion pump.

25. The method of claim 24, wherein the chamber fluid is an infusion fluid.

26. The method of claim 19, wherein the inlet valve of the chamber is closed when the pressure source pushes the chamber fluid out of the chamber.

27. The method of claim 19, wherein the assembly comprises a cassette piston.

28. The method of claim 19, wherein the pressure source comprises a motor which generates a negative pressure in the chamber and pumps the chamber fluid into the chamber, and a spring which generates a positive pressure and pumps the chamber fluid out of the chamber .

29. The method of claim 27, wherein the determination of the chamber pressure includes determining position of the piston.

30. The method of claim 28, wherein the determination of the position of the piston includes using an optical position sensor.

31. The method of claim 19 further comprising monitoring the pressure of the sample fluid including determining the pressure of the sample fluid at more than one time point over a period of time.

32. The method of claim 19 further comprising comparing the pressure of the sample fluid to a predetermined value.

33. An apparatus for air content measurement of a sample fluid comprising:  
a central chamber;  
an assembly moving in and out of the central chamber;  
a pressure source connected to the assembly, and wherein the pressure source comprises a motor which generates a negative pressure in the central chamber and pumps fluid into the central chamber via the assembly, and a spring which generates a positive pressure in the central chamber and pumps fluid out of the central chamber via the assembly;  
a position sensor connected to the assembly, wherein the position sensor determines a position change of the assembly; and  
a processor connected to the position sensor, wherein the processor receives the position change of the assembly, calculates a base volume change between zero or a negative pressure and a positive pressure in the central, and a sample volume change between zero or a negative pressure and the positive pressure in the central chamber with a sample fluid, wherein the air content of the sample fluid is related to the sample volume change minus the base volume change.

34. The apparatus of claim 33, wherein the assembly is a cassette piston.

35. The apparatus of claim 33, wherein the central chamber comprises an inlet valve and an outlet valve.

36. The apparatus of claim 35, wherein the inlet valve and the outlet valve are closed when the pressure source generates positive pressure.

37. The apparatus of claim 33, wherein the central chamber is part of an infusion pump.

38. The apparatus of claim 33, wherein the position sensor is an optical position sensor.

39. The apparatus of claim 33, wherein the processor further compares the air content to a predetermined value.

40. The apparatus of claim 39 further comprising an alarming device connected to the processor, wherein the processor activates the alarming device when the air content equals or is beyond the predetermined value.

41. The apparatus of claim 40, wherein the processor prevents fluid from leaving the central chamber when the air content equals or is beyond the predetermined value.

42. The apparatus of claim 41 further comprising an outlet valve controlling fluid flow out of the central chamber, wherein the processor closes the outlet valve and turns off the motor when the air content equals or is beyond the predetermined value.

43. The apparatus of claim 33, wherein the processor calculates the air content at different time points and generates an accumulated air content over a period of time.

44. The apparatus of claim 43, wherein the processor further compares the accumulated air content with a predetermined value.

45. The apparatus of claim 44 further comprising an alarming device connected to the processor, wherein the processor activates the alarming device when the accumulated air content equals or is beyond the predetermined value.

46. The apparatus of claim 45, wherein the processor prevents fluid from leaving the central chamber when the accumulated air content equals or is beyond the predetermined value.

47. The apparatus of claim 46 further comprising an outlet valve controlling fluid flow out of the central chamber, wherein the processor closes the outlet valve and turns off the motor when the accumulated air content equals or is beyond the predetermined value.

48. The apparatus of claim 33, wherein the processor calculates the base volume change by calculating a median base volume change of more than one sample of an infusion fluid.

49. The apparatus of claim 48, wherein the processor calculates the base volume change by calculating a median base volume change of 11 contiguous samples of an infusion fluid.

50. The apparatus of claim 48, wherein the sample fluid is a sample of the infusion fluid.

51. An apparatus for monitoring pressure of a sample fluid comprising:  
a central chamber with an outlet valve, wherein a sample fluid is connected to a chamber fluid in the central chamber through the open outlet valve;  
an assembly moving in and out of the central chamber;  
a pressure source connected to the assembly, and wherein the pressure source comprises a motor which generates a negative pressure in the central chamber and pumps fluid into the central chamber via the assembly, and a spring which generates a positive pressure in the central chamber and pumps fluid out of the central chamber via the assembly;

a position sensor connected to the assembly, wherein the position sensor determines a position change of the assembly; and

a processor connected to the position sensor, wherein the processor receives the position change of the assembly and calculates pressure of the central chamber when the pressure of the sample fluid equals the pressure of the central chamber.

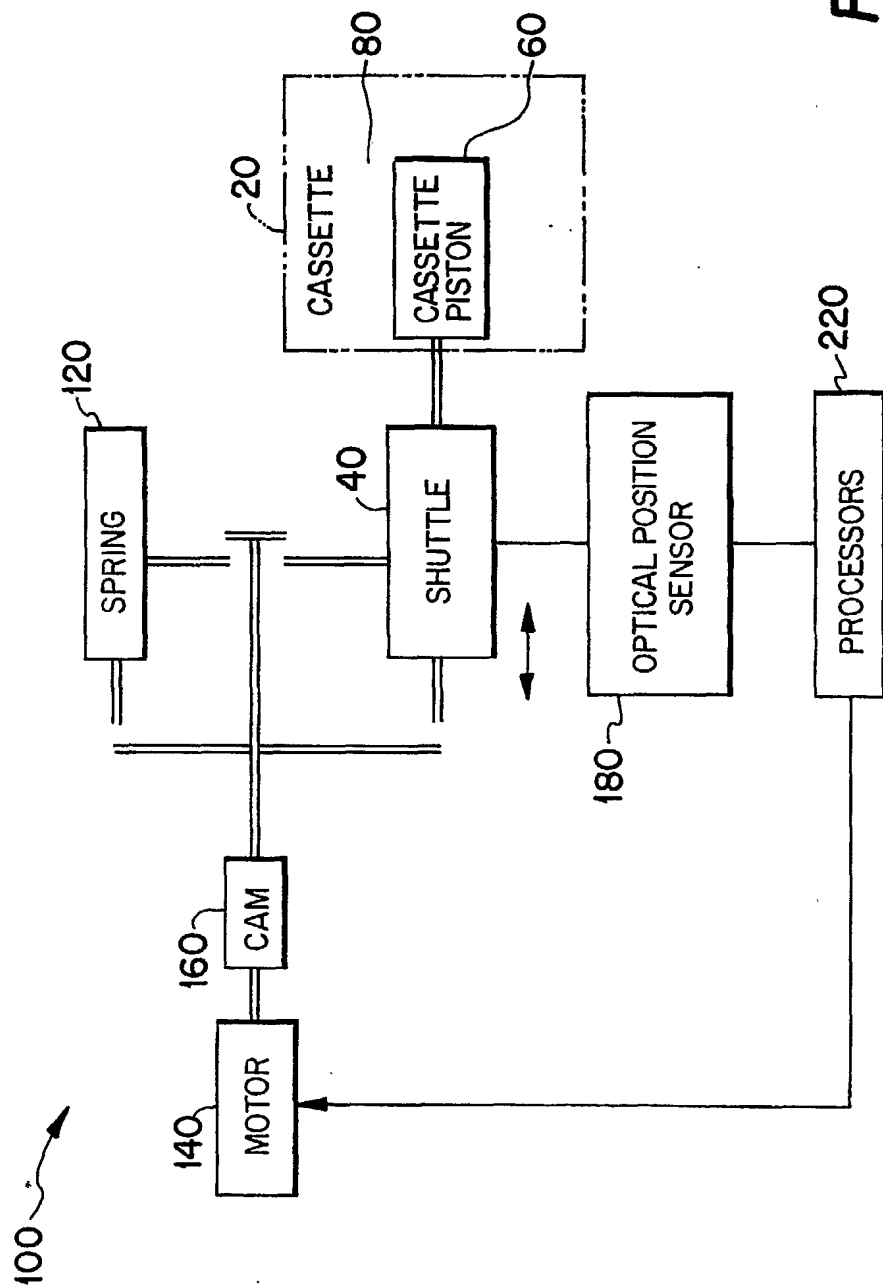
52. The apparatus of claim 51, wherein the processor further compares the pressure of the sample fluid to a predetermined value.

53. The apparatus of claim 52 further comprising an alarming device connected to the processor, wherein the processor activates the alarming device when the pressure of the sample fluid equals or is beyond the predetermined value.

54. The apparatus of claim 52, wherein the processor prevents the fluid from leaving the central chamber when the pressure of the sample fluid equals or is beyond the predetermined value.

55. The apparatus of claim 54, wherein the processor closes the outlet valve and turns off the motor when the pressure of the sample fluid equals or is beyond the predetermined value.

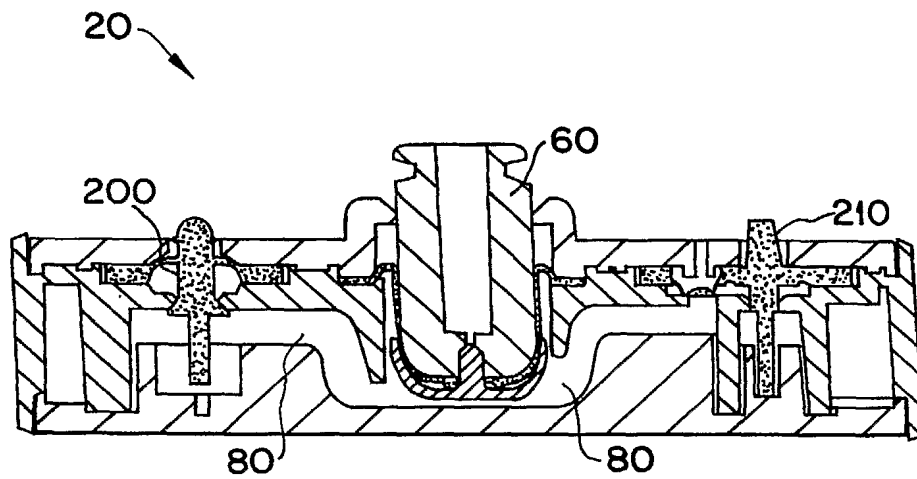
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**FIG. 1**

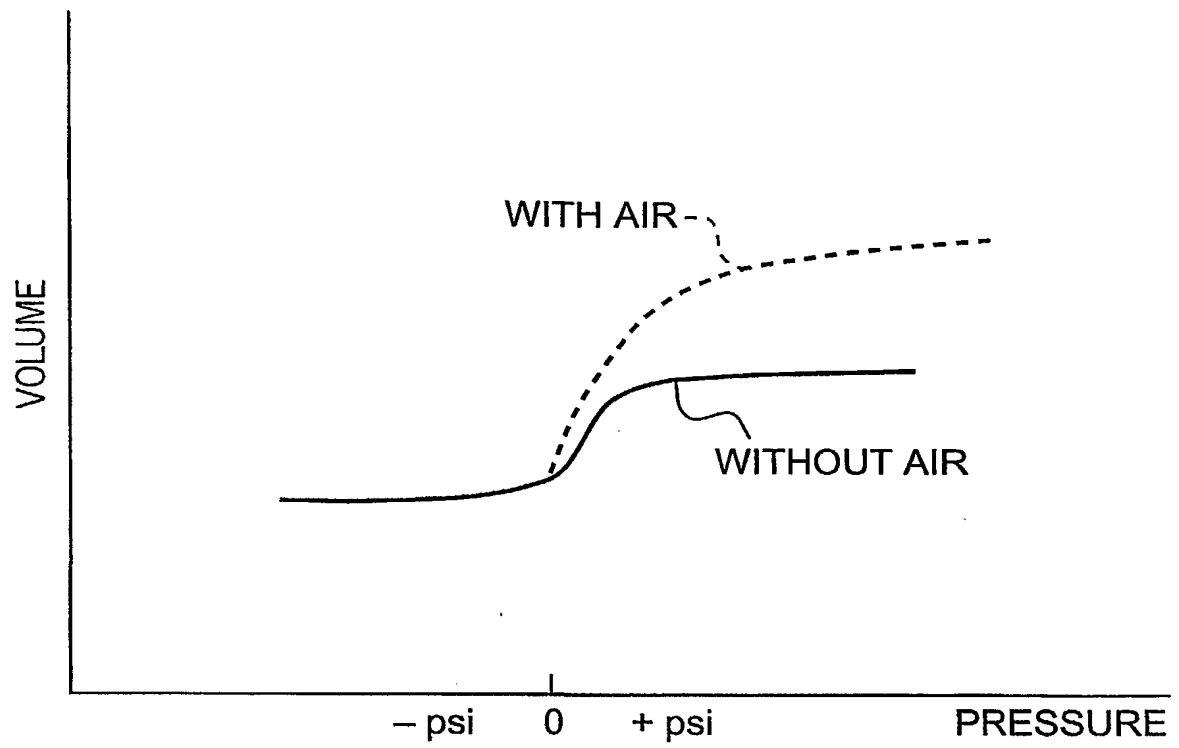


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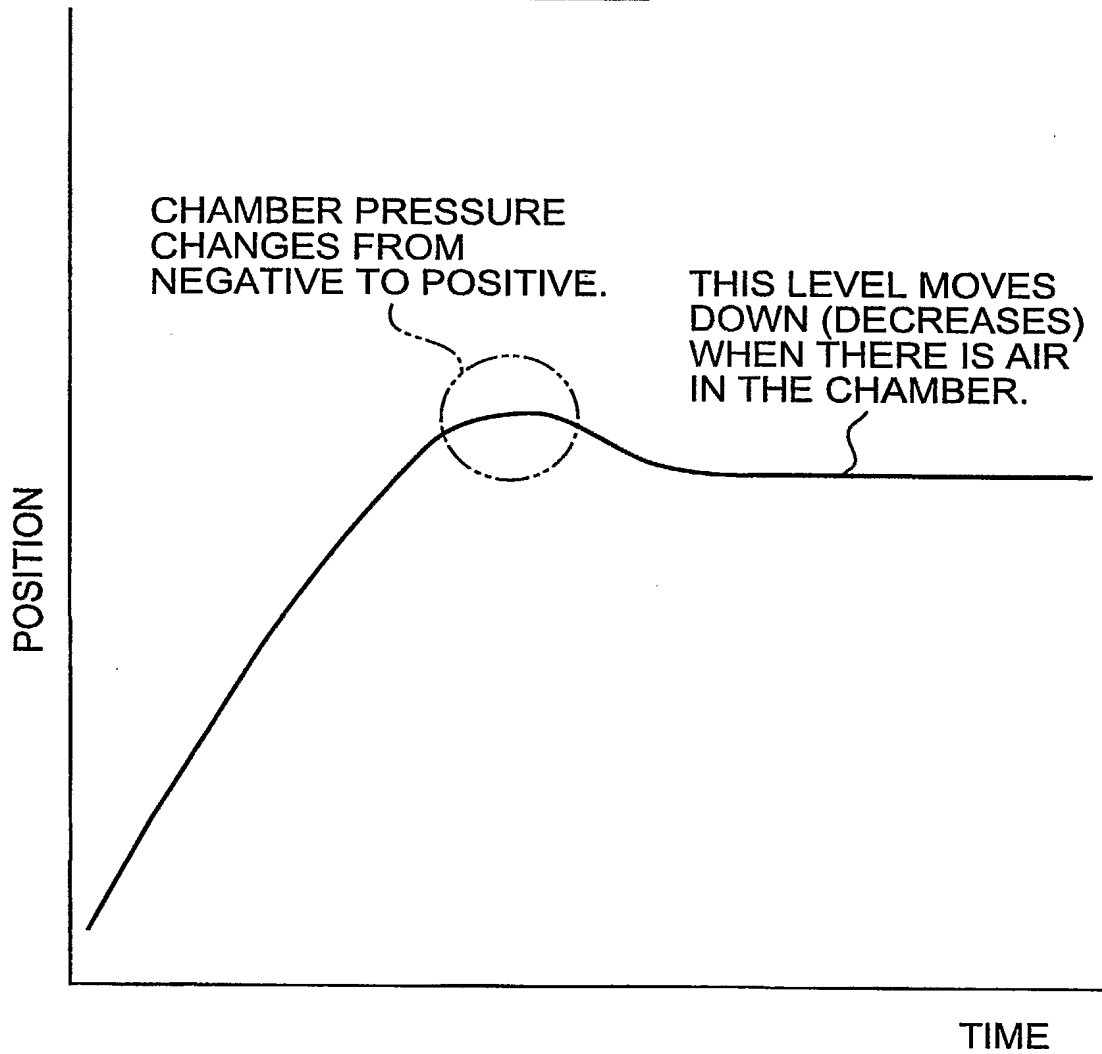


**FIG. 2**

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FIG. 3

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**FIG. 4**

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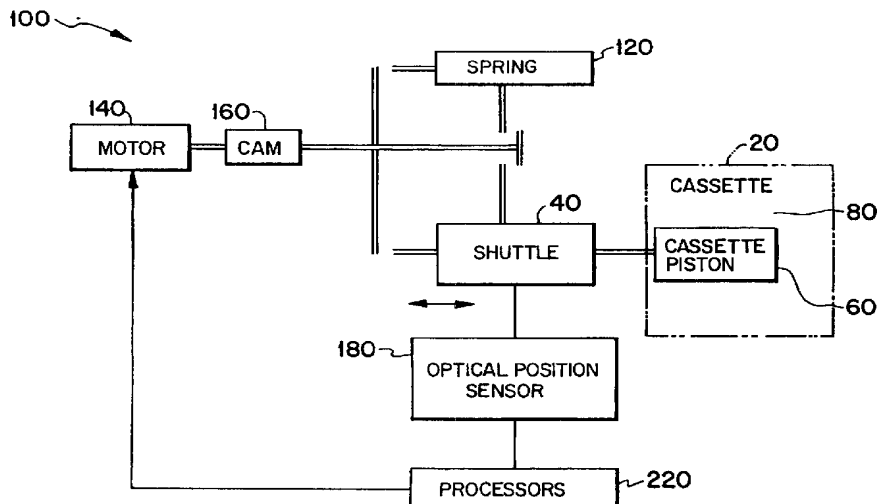
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(54) Title: METHOD AND APPARATUS FOR DETERMINING AIR CONTENT AND PRESSURE OF A LIQUID IN AN INFUSION LINE



(57) Abstract: Methods and apparatus for air content and pressure measurement of sample fluid, especially sample fluid in association with an infusion pump. Volume change in a chamber as the chamber transitions between negative and positive pressure relates to the air content in the chamber. In particular, in an infusion pump, the volume change of infusion fluid as it transitions between being under negative pressure and positive pressure within a cassette central chamber, e.g., pumping chamber, relates to the air content in the infusion fluid. The outlet pressure of the cassette central chamber, e.g., blood pressure, can be monitored based on the cassette central chamber pressure.



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## INTERNATIONAL SEARCH REPORT

International Application No

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## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 A61M5/142 A61M5/168 G01N7/00 F04B43/02

According to International Patent Classification (IPC) or to both national classification and IPC

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Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5 807 322 A (LINDSEY ET AL.) 15 September 1998 (1998-09-15) abstract column 2, line 62 - column 3, line 57 column 5, line 17 - line 29 column 6, line 57 - column 7, line 61; figures 4,6,8-11 ----	1-18, 33-50
A	US 4 255 088 A (NEWTON ET AL.) 10 March 1981 (1981-03-10)  abstract column 2, line 2 - line 28; figures 2,3 -----	19, 25-32, 51-55
A	US 5 641 892 A (LARKINS ET AL.) 24 June 1997 (1997-06-24) -----	

☐ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

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Date of the actual completion of the international search

23 January 2002

Date of mailing of the international search report

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## INTERNATIONAL SEARCH REPORT

International Application No. PCT/US 01 /1270

### FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: 1-18,33-50

Method and apparatus for measuring air in a liquid content in a chamber of a pump cassette by determining the difference of a total volume change and a base volume change of the chamber

2. Claims: 19,25-32,51-55

Method and apparatus for determining the pressure of a liquid in a chamber of a pump cassette by determining the position of the pump piston

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 01/41270

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